

Chapter 7

Parametrization of Stabilizing Controllers

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Introduction

- In traditional control theories, no matter the classical control theory or the modern control theory, the central issue is to design a *single controller* capable of controlling the plant.
- Performance optimization problem basically boils down to shaping the closed-loop transfer matrix. However, in performance optimization it becomes an obstacle to ensure the stability of system.
- Question: is it possible to describe all controllers that stabilize the plant by a formula with a free parameter?
- Answer: YES!
- Parametrization of stabilizing controllers is a great progress in control theory.

A motivating example

Recall the 2-mass-spring system ($x = [\omega_M \ \phi \ \omega_L]^T$)

$$\dot{x} = \begin{bmatrix} -\frac{D_L}{J_L} & \frac{k}{J_L} & 0 \\ -1 & 0 & 1 \\ 0 & -\frac{k}{J_M} & -\frac{D_M}{J_M} \end{bmatrix} x + \begin{bmatrix} \frac{1}{J_L} \\ 0 \\ 0 \end{bmatrix} d + \begin{bmatrix} 0 \\ 0 \\ \frac{1}{J_M} \end{bmatrix} u \quad (1)$$

$$y_P = [0 \ 0 \ 1]x.$$

- ❶ Performance spec: suppress the influence of load torque disturbance d , and ensure that ω_L tracks the reference input r .
- ❷ Output to be controlled is the speed error $r - \omega_L$ of load, different from the measured signal ω_M
- ❸ Torque disturbance d is different from the control input u in their properties and locations where they enter the system.
- ❹ To optimize the disturbance (or reference tracking) response directly in control design, new input/output description is needed.

Generalized Feedback Control System

- Generalized plant $G(s)$: contains the plant, signals for performance optimization and weighting function.
- K : controller
- Input/output relationships

$$\begin{bmatrix} \hat{z}(s) \\ \hat{y}(s) \end{bmatrix} = G(s) \begin{bmatrix} \hat{w}(s) \\ \hat{u}(s) \end{bmatrix} \quad (2)$$

$$\hat{u}(s) = K(s)\hat{y}(s). \quad (3)$$

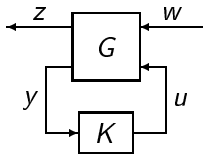
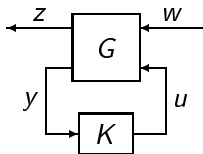


Figure: Generalized feedback system

Generalized plant

- Terms

- ① Performance output z : output vector used for specifying the control performance and model uncertainty
 - ② Measured output y : input vector of the controller (for example, outputs of sensors, tracking errors, etc.)
 - ③ Disturbance w : external input vector used for specifying the control performance and model uncertainty
 - ④ Control input u : command vector of actuators
- Not only the design of feedback control systems, but also the design of feedforward systems like filters as well as the design of 2-DOF control systems can be handled in this framework.



Generalized plant

1 State equation

$$\begin{bmatrix} \dot{x} \\ z \\ y \end{bmatrix} = \begin{bmatrix} A & B_1 & B_2 \\ C_1 & D_{11} & D_{12} \\ C_2 & D_{21} & 0 \end{bmatrix} \begin{bmatrix} x \\ w \\ u \end{bmatrix} \quad (4)$$

2 Partition in accordance with input $\begin{bmatrix} w \\ u \end{bmatrix}$ and output $\begin{bmatrix} z \\ y \end{bmatrix}$

$$G(s) = \begin{bmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{bmatrix} = \left[\begin{array}{c|cc} A & B_1 & B_2 \\ \hline C_1 & D_{11} & D_{12} \\ C_2 & D_{21} & 0 \end{array} \right] \quad (5)$$

3 Closed-loop transfer matrix of $w \mapsto z$

$$H_{zw}(s) = G_{11} + G_{12}K(I - G_{22}K)^{-1}G_{21}. \quad (6)$$

Example: 2-DOF control system

- 1 Plant output y_P and ref. input r used independently, instead of their difference $r - y_P$ as in 1-DOF control.
- 2 Capable of achieving the best tracking performance
- 3 $K(s) = [K_F \ K_B]$ contains two blocks $K_F(s)$ and $K_B(s)$, corresponding to the feedforward signal r and the feedback signal y_P resp.
- 4 Model of reference input: $W_R(s)$

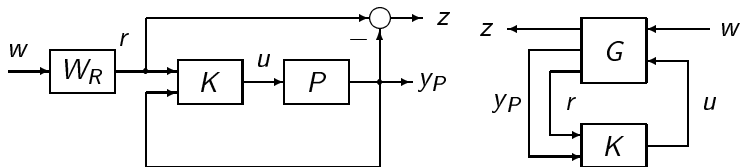


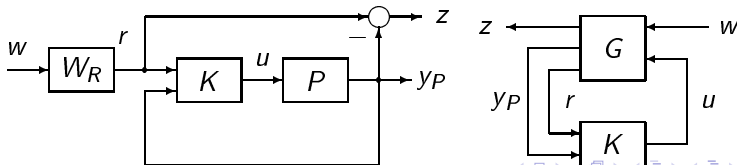
Figure: Reduction of 2-DOF system to generalized feedback system

Example: 2-DOF control system

- ① Performance output: tracking error $z = r - y_P$
- ② Disturbance: impulse input w of $W_R(s)$
- ③ Measured output: $\begin{bmatrix} r \\ y_P \end{bmatrix}$

$$\begin{bmatrix} -\frac{\hat{z}}{\hat{r}} \\ \hat{y}_P \end{bmatrix} = G(s) \begin{bmatrix} \hat{w} \\ \hat{u} \end{bmatrix} = \begin{bmatrix} -\frac{W_R}{W_R} & -\frac{P}{0} \\ 0 & P \end{bmatrix} \begin{bmatrix} \hat{w} \\ \hat{u} \end{bmatrix} \quad (7)$$

$$\hat{u} = K(s) \begin{bmatrix} \hat{r} \\ \hat{y}_P \end{bmatrix} = K_F \hat{r} + K_B \hat{y}_P \quad (8)$$



Example: 2-mass-spring system

$$\dot{x} = Ax + b_1 d + b_2 u \quad (9)$$

$$y_P = c_2 x.$$

- 1 Spec: load torque disturbance suppression
- 2 Performance output: tracking error of load speed

$$z = r - x_1 = [-1 \ 0 \ 0]x + r = c_1 x + r$$

- 3 Measured output (2-DOF): $[r \ y_P]^T$
- 4 Disturbance: $\bar{w} = [r \ d]^T$
- 5 Generalized plant: $[\bar{w}^T \ u]^T \mapsto [z \ y^T]^T$

$$P(s) \left\{ \begin{bmatrix} \dot{x} \\ z \\ r \\ y_P \end{bmatrix} \right\} = \begin{bmatrix} A & 0 & b_1 & b_2 \\ -c_1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ c_2 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ r \\ d \\ u \end{bmatrix} \quad (10)$$

2-mass-spring system: adding signal models

$$\begin{bmatrix} -\frac{z}{r} & - \\ y_P & \end{bmatrix} = P(s) \begin{bmatrix} r & \\ -d & - \\ u & \end{bmatrix} \quad (11)$$

- ❶ Models of ref input and disturbance: $W_R(s)$, $W_D(s)$
- ❷ Generalized plant of $[w_1 \ w_2 \ u]^T \mapsto [z \ y^T]^T$

$$G(s) = P(s) \times \begin{bmatrix} W_R(s) & & \\ & W_D(s) & \\ & & 1 \end{bmatrix}. \quad (12)$$

Example: Filter design

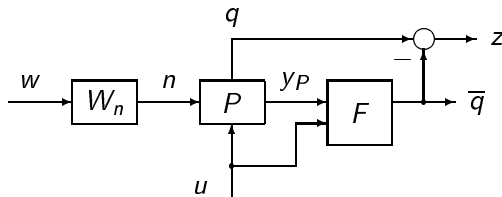
- 1 Purpose: estimating a signal q from plant input and output
- 2 State equation of plant

$$\dot{x} = Ax + B_1 n + B_2 u$$

$$y_P = Cx + D_1 n + D_2 u$$

$$q = Hx.$$

- 3 Estimate \bar{q} : input/output signals (u, y_P) filtered by $F(s)$
- 4 Rule of filter design: minimizing the estimation error $z = q - \bar{q}$

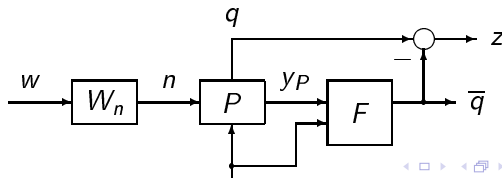


Example: Filter design

- 1 Disturbance: (n, u) , Performance output: estimation error z ,
Measured output: (u, y_P) , Control input: \bar{q}
- 2 Generalized plant

$$\begin{bmatrix} -\frac{\hat{z}}{\hat{y}_P} \\ -\frac{\hat{u}}{\hat{q}} \end{bmatrix} = \begin{bmatrix} A & B_1 & B_2 & 0 \\ H & 0 & 0 & -I \\ -\bar{C} & \bar{D}_1 & \bar{D}_2 & 0 \\ 0 & 0 & I & 0 \end{bmatrix} \begin{bmatrix} \hat{n} \\ \hat{u} \\ -\frac{\hat{q}}{\bar{q}} \end{bmatrix} = P(s) \begin{bmatrix} \hat{n} \\ \hat{u} \\ -\frac{\hat{q}}{\bar{q}} \end{bmatrix} \quad (13)$$

$$\hat{\bar{q}} = F(s) \begin{bmatrix} \hat{y}_P \\ \hat{u} \end{bmatrix}. \quad (14)$$



Filter design: adding noise model

- 1 Colored noise n : $\hat{n}(s) = W_n(s)\hat{w}(s)$ and w is a white noise
- 2 Generalized plant with weighting function

$$\begin{bmatrix} \hat{z} \\ -\hat{y}_P \\ \hat{u} \end{bmatrix} = G \begin{bmatrix} \hat{w} \\ \hat{u} \\ -\frac{\hat{q}}{q} \end{bmatrix}, \quad G = P \begin{bmatrix} W_n & & \\ & I & \\ & & I \end{bmatrix}. \quad (15)$$

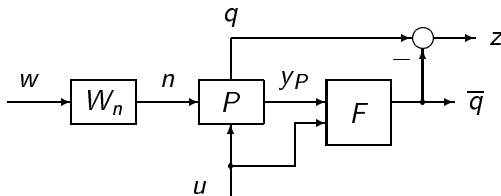


Figure: Filtering problem

Stable Plant Case

Theorem 1

Let $G(s)$ be stable. Then, all stabilizing controllers are parameterized by

$$K(s) = Q(I + G_{22}Q)^{-1}. \quad (16)$$

$Q(s)$: arbitrary stable matrix with compatible dimension.

(Proof) We need only prove that $K(s)$ stabilizes $G_{22}(s)$. That is,

$$(I - G_{22}K)^{-1}, K(I - G_{22}K)^{-1}, G_{22}K(I - G_{22}K)^{-1}, (I - G_{22}K)^{-1}G_{22}$$

are all stable. These four transfer matrices are equal to

$$I + G_{22}Q, Q, G_{22}Q, (I + G_{22}Q)G_{22}$$

and are certainly stable.

Conversely, when $K(s)$ is a stabilizing controller, $K(I - G_{22}K)^{-1} := Q(s)$ must be stable. Solving for $K(s)$, we see that it is described by $K(s) = Q(I + G_{22}Q)^{-1}$. •

Case of $G_{22}(s) = -P(s)$

Corollary 1

Assume that the plant $P(s)$ is stable. Then all controllers that stabilize the closed-loop system are parameterized by

$$K(s) = Q(I - PQ)^{-1}.$$

$Q(s)$: any stable matrix with appropriate dimension.

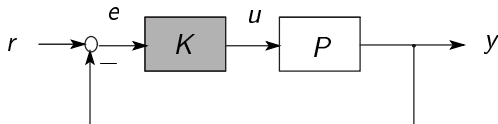


Figure: 1-DOF feedback system

Example 1

Consider the SISO feedback system where $P(s)$ is stable. Find all controllers that enable the asymptotic tracking of step ref input r .

(Solution) Laplace transform of tracking error

$$\hat{e}(s) = \hat{r}(s) - \hat{y}(s) = \frac{1}{1 + PK} \hat{r}(s) = \frac{1}{1 + PK} \frac{1}{s}.$$

Substitution of $K(s) = Q/(1 - PQ)$ leads to $\hat{e}(s) = (1 - PQ)\frac{1}{s}$.

$$e(\infty) = \lim_{s \rightarrow 0} s \hat{e}(s) = 1 - P(0)Q(0) = 0 \Rightarrow P(0) \neq 0, \quad Q(0) = \frac{1}{P(0)}.$$

Required controllers:

$$\left\{ K(s) = \frac{Q}{1 - PQ} \mid Q \text{ is stable and } Q(0) = \frac{1}{P(0)} \right\}.$$

$K(s)$ contains at least one integrator $1/s$ since

$$K(0) = \lim_{s \rightarrow 0} \frac{Q}{1 - PQ} \rightarrow \infty.$$

For instance, for the plant

$$P(s) = \frac{1}{(s+1)(s+2)},$$

one of the controllers is obtained as

$$K(s) = \frac{2(s+1)(s+2)}{s(s+3)}$$

when the free parameter is selected as $Q = 1/P(0) = 2$.

▽

Example 2

Consider the SISO system. Assume that $P(s)$ is stable and $P(0) \neq 0$. Find all controllers that are capable of asymptotic rejection of step disturbance d . Further, for $P(s) = 1/(s + 1)$, select the free parameter as $Q(s) = P^{-1}(s) \frac{k}{1 + \epsilon s}$ ($\epsilon > 0$) and design a controller satisfying $\|y\|_2 \leq 0.1$.

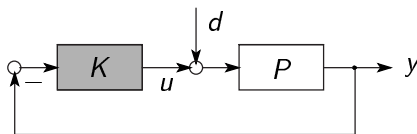


Figure: Disturbance control

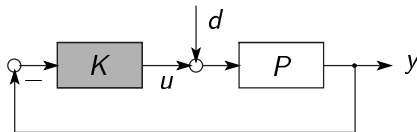


Figure: Disturbance control

(Solution) Disturbance response

$$\hat{y}(s) = \frac{P}{1 + PK} \hat{d}(s) = \frac{P}{1 + PK} \frac{1}{s}.$$

$K = Q/(1 - PQ)$ yields

$$\hat{y}(s) = P(1 - PQ) \frac{1}{s}. \quad (17)$$

All controllers guaranteeing zero steady-state output

$$\left\{ K = \frac{Q}{1 - PQ} : Q(s) \text{ is stable and } Q(0) = \frac{1}{P(0)} \right\} \quad (18)$$

Each controller $K(s)$ contains at least one integrator $1/s$.

$\|y\|_2$ is bounded only if $y(\infty) = 0$. So, $k = Q(0) = 1/P(0) = 1$.

$$\hat{y}(s) = \frac{\epsilon}{(s+1)(\epsilon s+1)} = \frac{\epsilon}{1-\epsilon} \left(\frac{1}{s+1} - \frac{1}{s+1/\epsilon} \right)$$

$$\Rightarrow y(t) = \frac{\epsilon}{1-\epsilon} (e^{-t} - e^{-t/\epsilon}), \quad t \geq 0$$

So,

$$\|y\|_2^2 = \int_0^\infty y^2(t) dt = \frac{\epsilon^2}{2(1+\epsilon)} \leq 0.1^2 \Rightarrow \epsilon^2 - 0.02\epsilon - 0.02 \leq 0.$$

Its solution is $-0.131 \leq \epsilon \leq 0.151$. Considering the stability condition $\epsilon > 0$, the final solution is $0 < \epsilon \leq 0.151$.

Obtained PI compensator:

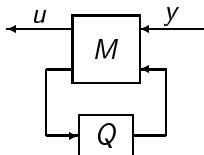
$$K(s) = \frac{s+1}{\epsilon s} = \frac{1}{\epsilon} + \frac{1}{\epsilon s}.$$

General Case

Theorem 2

Suppose that (A, B_2) is stabilizable and (C_2, A) is detectable. Let $A + B_2F$ and $A + LC_2$ be stable. Then, all stabilizing controllers are given by the transfer matrix $\mathcal{F}_\ell(M, Q)$ from y to u , where $Q(s)$ is any stable matrix with an appropriate dimension.

$$M(s) = \left[\begin{array}{c|cc} A + B_2F + LC_2 & -L & B_2 \\ \hline F & 0 & I \\ -C_2 & I & 0 \end{array} \right].$$



Outline of proof

Sufficiency: Set $Q(s) = (A_Q, B_Q, C_Q, D_Q)$.

$$\begin{aligned}
 K(s) &= (A_K, B_K, C_K, D_K) \\
 &= \left[\begin{array}{cc|c} A + B_2 F + L C_2 - B_2 D_Q C_2 & B_2 C_Q & B_2 D_Q C_Q - L \\ -B_Q C_2 & A_Q & B_Q \\ \hline F - D_Q C_2 & C_Q & D_Q \end{array} \right] \quad (19)
 \end{aligned}$$

A-matrix of closed-loop system H_{zw}

$$A_c = \left[\begin{array}{ccc} A + B_2 D_Q C_2 & B_2 F - B_2 D_Q C_2 & B_2 C_Q \\ B_2 D_Q C_2 - L C_2 & A + B_2 F + L C_2 - B_2 D_Q C_2 & B_2 C_Q \\ B_Q C_2 & -B_Q C_2 & A_Q \end{array} \right] \quad (20)$$

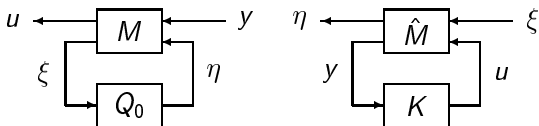
A_c is similar to the block triangular matrix:

$$\left[\begin{array}{ccc} A + B_2 F & B_2 C_Q & B_2 F - B_2 D_Q C_2 \\ 0 & A_Q & -B_Q C_2 \\ 0 & 0 & A + L C_2 \end{array} \right] \quad (21)$$

This matrix obviously is stable.

Outline of proof

Necessity: we need just prove that any stabilizing controller $K(s)$ can be described as $K(s) = \mathcal{F}_\ell(M, Q_0)$ with a stable $Q_0(s)$.



Input/output relation

$$\begin{bmatrix} \hat{u} \\ \hat{\xi} \end{bmatrix} = M(s) \begin{bmatrix} \hat{y} \\ \hat{\eta} \end{bmatrix}, \quad \hat{u} = K(s)\hat{y}; \quad \begin{bmatrix} \hat{\eta} \\ \hat{y} \end{bmatrix} = \hat{M}(s) \begin{bmatrix} \hat{\xi} \\ \hat{u} \end{bmatrix}, \quad \hat{\eta} = Q_0(s)\hat{\xi}.$$

Relationship between \hat{M} and M

$$\hat{M}(s) = \begin{bmatrix} & I \\ I & \end{bmatrix} M^{-1} \begin{bmatrix} & I \\ I & \end{bmatrix}.$$

Outline of proof

State realization of $\hat{M}(s)$:

$$\hat{M}(s) = \left[\begin{array}{c|cc} A & -L & B_2 \\ \hline -F & 0 & I \\ C_2 & I & 0 \end{array} \right].$$

$\hat{M}(s)$ and $G(s)$ share the same $(2, 2)$ block, namely $\hat{M}_{22}(s) = G_{22}(s) = C_2(sI - A)^{-1}B_2$. So they both are stabilized by $K(s)$. Therefore, $Q_0(s) := \mathcal{F}_\ell(\hat{M}, K)$ is stable.

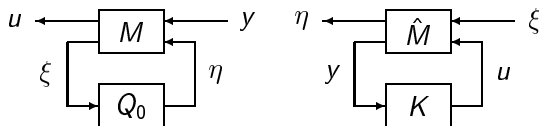


Figure: Input/output relations of $K = \mathcal{F}_\ell(M, Q_0)$ and $Q_0 = \mathcal{F}_\ell(\hat{M}, K)$

Stabilization of integrator $P(s) = 1/s := G_{22}$

A state realizations is $(0, 1, 1, 0)$. When $F = L = -1$ are chosen, $A + B_2F = A + LC_2 = -1$ are stable. From the coefficient matrix

$$M(s) = \left[\begin{array}{c|cc} -2 & 1 & 1 \\ \hline -1 & 0 & 1 \\ -1 & 1 & 0 \end{array} \right] = \frac{1}{s+2} \begin{bmatrix} -1 & s+1 \\ s+1 & -1 \end{bmatrix},$$

we get

$$K(s) = -\frac{1}{s+2} + \left(\frac{s+1}{s+2} \right)^2 Q(s) \left(1 + \frac{1}{s+2} Q(s) \right)^{-1}.$$

When $Q(s) = 0$, the controller is $K(s) = -1/(s+2)$.

Characteristic polynomial of CLS is equal to $s(s+2) + 1 = (s+1)^2$, so CLS is stable.

Youla Parametrization

$$\left[\begin{array}{c|cc} A + B_2 F & B_2 & -L \\ \hline F & I & 0 \\ C_2 & 0 & I \end{array} \right] := \begin{bmatrix} D(s) & -Y(s) \\ N(s) & -X(s) \end{bmatrix} \quad (22)$$

$$\left[\begin{array}{c|cc} A + LC_2 & -B_2 & L \\ \hline F & I & 0 \\ C_2 & 0 & I \end{array} \right] := \begin{bmatrix} -\tilde{X}(s) & \tilde{Y}(s) \\ -\tilde{N}(s) & \tilde{D}(s) \end{bmatrix} \quad (23)$$

Theorem 3

Suppose that (A, B_2) is stabilizable and (C_2, A) is detectable, $A + B_2 F$ and $A + LC_2$ are stable. Then,

- (1) $G_{22}(s) = N(s)D^{-1}(s) = \tilde{D}^{-1}(s)\tilde{N}(s)$;
- (2) All controllers are parameterized by

$$K(s) = (\tilde{X} - Q\tilde{N})^{-1}(\tilde{Y} - Q\tilde{D}) = (Y - DQ)(X - NQ)^{-1} \quad (24)$$

Affine Structure in Controller Parameter

Controller $K(s)$

$$\begin{bmatrix} \dot{x}_K \\ u \end{bmatrix} = \begin{bmatrix} A_K & B_K \\ C_K & D_K \end{bmatrix} \begin{bmatrix} x_K \\ y \end{bmatrix}. \quad (25)$$

Closed-loop system

$$\begin{bmatrix} \dot{x} \\ -\frac{\dot{x}_K}{z} \end{bmatrix} = \begin{bmatrix} A_c & B_c \\ C_c & D_c \end{bmatrix} \begin{bmatrix} x \\ -\frac{x_K}{w} \end{bmatrix} \quad (26)$$

$$\begin{bmatrix} A_c & B_c \\ C_c & D_c \end{bmatrix} = \begin{bmatrix} A + B_2 D_K C_2 & B_2 C_K & B_1 + B_2 D_K D_{21} \\ -\frac{B_K C_2}{\bar{C}_1 + \bar{D}_{12} \bar{D}_K \bar{C}_2} & -\frac{A_K}{\bar{D}_{12} \bar{C}_K} & -\frac{B_K D_{21}}{\bar{D}_{11} + \bar{D}_{12} \bar{D}_K \bar{D}_{21}} \end{bmatrix}. \quad (27)$$

Affine Structure in Controller Parameter

Relationship between the coefficient matrices of closed-loop system and controller

$$\begin{aligned}
 A_c &= \begin{bmatrix} A + B_2 D_K C_2 & B_2 C_K \\ B_K C_2 & A_K \end{bmatrix} \\
 &= \begin{bmatrix} A & 0 \\ 0 & 0 \end{bmatrix} + \begin{bmatrix} B_2 D_K C_2 & B_2 C_K \\ B_K C_2 & A_K \end{bmatrix} \\
 &= \begin{bmatrix} A & 0 \\ 0 & 0 \end{bmatrix} + \begin{bmatrix} B_2 & 0 \\ 0 & I \end{bmatrix} \begin{bmatrix} D_K & C_K \\ B_K & A_K \end{bmatrix} \begin{bmatrix} C_2 & 0 \\ 0 & I \end{bmatrix}.
 \end{aligned}$$

A_c is an affine function of the coefficient matrix of controller:

$$\mathcal{K} = \begin{bmatrix} D_K & C_K \\ B_K & A_K \end{bmatrix}. \quad (28)$$

Affine Structure in Controller Parameter

$$\begin{bmatrix} A_c & B_c \\ C_c & D_c \end{bmatrix} = \begin{bmatrix} \bar{A} & \bar{B}_1 \\ \bar{C}_1 & \bar{D}_{11} \end{bmatrix} + \begin{bmatrix} \bar{B}_2 \\ \bar{D}_{12} \end{bmatrix} \mathcal{K}[\bar{C}_2, \bar{D}_{21}] \quad (29)$$

$$\begin{bmatrix} \bar{A} & \bar{B}_1 & \bar{B}_2 \\ \bar{C}_1 & \bar{D}_{11} & \bar{D}_{12} \\ \bar{C}_2 & \bar{D}_{21} & \end{bmatrix} = \begin{bmatrix} A & 0 & B_1 & B_2 & 0 \\ 0 & 0 & 0 & 0 & I \\ -\bar{C}_1 & 0 & \bar{D}_{11} & \bar{D}_{12} & 0 \\ -\bar{C}_2 & 0 & \bar{D}_{21} & & \\ 0 & I & 0 & & \end{bmatrix}. \quad (30)$$

- 1 Closed-loop transfer matrix is a nonlinear function of the controller. Meanwhile, in state space their coefficient matrices have an affine relation which is much simpler.
- 2 It is because of this affine feature that the state space method is effective in various kinds of optimal control designs.
- 3 In the \mathcal{H}_∞ control and multiple-objective control, this affine relationship plays a fundamental role in deriving the LMI solutions.

Affine Structure in Free Parameter

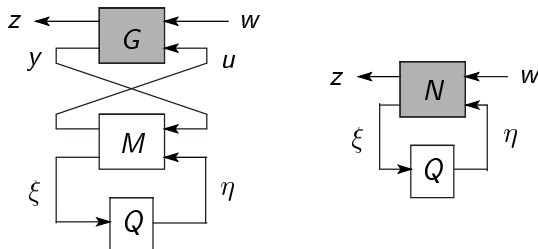


Figure: Closed-loop system

Some notations:

$$\begin{aligned}
 A_F &:= A + B_2 F, & C_F &:= C_1 + D_{12} F \\
 A_L &:= A + L C_2, & B_L &:= B_1 + L D_{21} \\
 \hat{A} &:= A + B_2 F + L C_2.
 \end{aligned} \tag{31}$$

Affine Structure in Free Parameter

Closed-loop transfer matrix $w \mapsto z$:

$$H_{zw}(s) = \mathcal{F}_\ell(G, K) = \mathcal{F}_\ell(G, \mathcal{F}_\ell(M, Q)) = \mathcal{F}_\ell(N, Q)$$

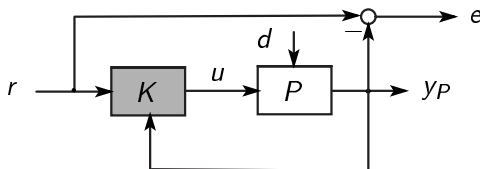
$$N(s) = \begin{bmatrix} N_{11} & N_{12} \\ N_{21} & N_{22} \end{bmatrix} = \left[\begin{array}{cc|cc} A_F & -B_2 F & B_1 & B_2 \\ 0 & A_L & B_L & 0 \\ \hline C_F & -D_{12} F & D_{11} & D_{12} \\ 0 & C_2 & D_{21} & 0 \end{array} \right], \quad N_{22}(s) = 0. \quad (32)$$

Eventually, the closed-loop transfer matrix becomes

$$H_{zw}(s) = N_{11}(s) + N_{12}(s)Q(s)N_{21}(s). \quad (33)$$

Namely, $H_{zw}(s)$ is an affine function of $Q(s)$. This affine structure will be used in solving the \mathcal{H}_2 optimal control problem.

Structure of 2-Degree-of-Freedom Systems



- Plant dynamics

$$\dot{x} = Ax + Hd + Bu \quad (34)$$

$$y_P = Cx \quad (35)$$

- Performance output

$$e(t) = r(t) - y_P(t) \quad (36)$$

- Disturbance d may enter the closed-loop system at a location of different from control input u (for instance, 2-mass-spring system), so their coefficient matrices are set differently.

Structure of 2-Degree-of-Freedom Systems

- Transfer matrices $P_u(s) : u \mapsto y_P$, $P_d(s) : d \mapsto y_P$

$$P_u(s) = C(sI - A)^{-1}B, \quad P_d(s) = C(sI - A)^{-1}H \quad (37)$$

- Partition of free parameter $Q(s)$

$$Q(s) = [Q_F(s) \quad Q_B(s)] \quad (38)$$

- Ref tracking T_{er} , disturbance suppression T_{ed}

$$T_{er}(s) = I + N_{12}(s)Q_F(s) \quad (39)$$

$$T_{ed}(s) = N_{12}(s)Q_B(s)C(sI - A_L)^{-1}H \\ - N_{12}(s)F(sI - A_L)^{-1}H - C(sI - A_F)^{-1}H. \quad (40)$$

- $T_{er}(s) \propto Q_F(s)$, $T_{ed}(s) \propto Q_B(s)$
 $T_{er}(s)$ and $T_{ed}(s)$ can be designed independently.
- Stable plant case

$$T_{er}(s) = I - P_u(s)Q_F(s), \quad T_{ed}(s) = -P_u(s)Q_B(s)P_d(s) - P_d(s)$$

Design example

- 1st-order system

$$\dot{x} = -2x + u + d, \quad y_P = 2x$$

- Ref input r and the disturbance d are unit step signal $1(t)$.
- Control spec: reduce the reference tracking error $e(t)$
- Plant is stable and

$$P_u(s) = P_d(s) = \frac{2}{s+2}.$$

- Free parameters chosen as

$$Q_F(s) = P_u^{-1}(s) \frac{1}{\epsilon s + 1}, \quad Q_B(s) = -P_u^{-1}(s) \frac{1}{\tau s + 1}, \quad \epsilon, \tau > 0$$

$$\Rightarrow T_{er}(s) = 1 - P_u Q_F = \frac{s}{s + 1/\epsilon}$$

$$T_{ed}(s) = -(P_u Q_B + 1)P_d = -\frac{2s}{(s+2)(s+1/\tau)}.$$

Design example

- Tracking error

$$\begin{aligned}\hat{e}(s) &= T_{er}\hat{r} + T_{ed}\hat{d} = \frac{1}{s + 1/\epsilon} - \frac{2}{(s + 2)(s + 1/\tau)} \\ \Rightarrow e(t) &= e^{-t/\epsilon} - \frac{2\tau}{1 - 2\tau} \left(e^{-2t} - e^{-t/\tau} \right).\end{aligned}\quad (42)$$

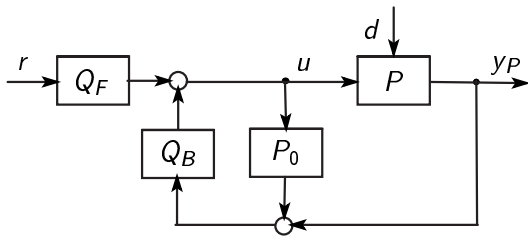
- Tracking error can be reduced by lowering ϵ , τ
- Controller

$$K(s) = \frac{Q}{1 + QG_{22}} = \frac{[Q_F \quad Q_B]}{1 + Q_B P_u} = \frac{\tau s + 1}{\tau s} \left[\frac{s + 2}{2(\epsilon s + 1)} - \frac{s + 2}{2(\tau s + 1)} \right].$$

- Low frequency gain of $K(s)$ increases when τ is reduced, while ϵ does not affect the low frequency gain of $K(s)$.
- To realize signal tracking using an input as small as possible, we should better mainly use feedforward control (that is, lowering ϵ only).
- Feedback should be strengthened only when the disturbance is strong (lowering both ϵ and τ).

Implementation 1

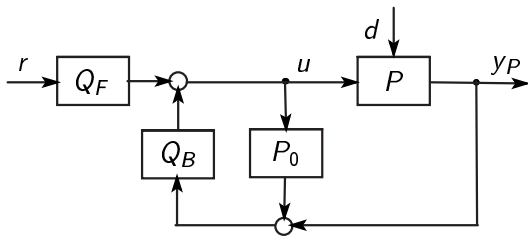
$$K := [K_F \quad -K_B] = \frac{[Q_F \quad -Q_B]}{1 + [Q_F \quad -Q_B]G_{22}} = \frac{[Q_F \quad -Q_B]}{1 - PQ_B}. \quad (43)$$



- Feature: input of $Q_B(s)$ becomes zero when $P = P_0$ and $d(t) = 0$. So feedback controller $K_B(s)$ is not activated.
- Transfer function $r \mapsto y_P$

$$H_{y_{Pr}}(s) = P(s)Q_F(s)$$

Implementation 1



- Model-matching: let closed-loop transfer function match or close to a reference model $M(s)$ with good performance
- Feedforward compensator Q_F

$$PQ_F = M \Rightarrow Q_F(s) = \frac{M(s)}{P(s)} \quad (44)$$

- Q_F must be stable. So when the plant $P(s)$ have unstable zeros, the model $M(s)$ must also contain the same zeros. That is, for a non-minimum phase plant, the output response cannot be improved

Implementation 2

- Physical implication: feedback controller is activated when output of actual plant is different from and that of ref model $M(s)$; when they are the same, the feedback controller stops working.

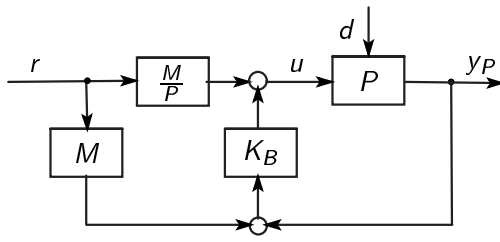


Figure: Another Form of 2-DOF Systems

Example: plant with low damping

$$P(s) = \frac{4}{s^2 + s + 4} \quad (\zeta = \frac{1}{4}, \omega_n = 2).$$

- 1 Ref model with a strengthened damping:

$$M(s) = \frac{4}{s^2 + 3s + 4} \quad (\zeta^* = 0.75, \omega_n^* = 2).$$

- 2 Feedforward compensator

$$Q_F(s) = \frac{M}{P} = \frac{s^2 + s + 4}{s^2 + 3s + 4}.$$

- 3 Feedback controller

$$Q_B(s) = P^{-1} \frac{1}{(\epsilon s + 1)^2} \Rightarrow K_B(s) = \frac{s^2 + s + 4}{2\epsilon^2 s(s + 2/\epsilon)}.$$

- 4 Sensitivity function

$$S(s) = \frac{1}{1 + PK_B} = 1 - PQ_B = 1 - \frac{1}{(\epsilon s + 1)^2} = \frac{\epsilon s(\epsilon s + 2)}{(\epsilon s + 1)^2}$$